

Please call (425) 741-3800 if you do not receive <sup>21</sup> pages including this cover.

**Reid Middleton****Fax**

728 134th Street SW - Suite 200  
Everett, WA 98204  
Ph: (425) 741-3800  
Fax: (425) 741-3900

DATE: April 11, 2000

TO: Steven Sadler

FROM: Reinhart Jung

ORGANIZATION: King County

PROJECT: Runway 13L-31R Overlay

FAX NO. (206)684-1486

FILE NO.: 23-00-913-004-01

PHONE NO. ( ) -

CITY:

SUBJECT: RFP No. E93066E, Design Services for the King County International Airport  
Runway 13L-31R Overlay

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**MESSAGE:**

As requested, I have attached the following compliance forms in connection with the Boeing Field Runway project referenced above.

1. Affidavit and Certificate of Compliance
2. Declaration Regarding Disadvantaged Business Enterprise Solicitation and Utilization
3. Attachment A to Declaration Regarding DBE Solicitation & Utilization Commitment (3 copies)
4. Proposer Certification Regarding Debarment, Suspension, and Other Responsibility Matters
5. Lower Tier Participant Certification Regarding Debarment, Suspension and Other Responsibility Matters (5 copies)
6. Consultant's Compliance Statement (Executive Order No. 11246)
7. Certification Regarding the Restrictions of The use of Federal Funds for Lobbying

Please call me if you have questions.

Thank you.



# Affidavit and Certificate of Compliance

with King County Code Chapter 12.16, Discrimination and Affirmative Action  
in Employment by Contractors, Subcontractors and Vendors

The undersigned, being first duly sworn, on oath states, s/he is authorized by the Contractor, and on the Contractor's behalf, affirms and certifies as follows:

Definitions: "Contractor" shall mean any contractor, vendor or consultant who supplies goods and/or services. "Contract" shall mean any contract, purchase order or agreement with King County Government, hereinafter called the County.

- A. Contractor recognizes that discrimination in employment is prohibited by federal, state and local laws. Contractor recognizes that in addition to refraining from discrimination, affirmative action is required to provide equal employment opportunity. Contractor further recognizes that this Affidavit establishes minimum requirements for affirmative action and fair employment practices and implements the basic nondiscrimination provisions of the general contract specifications as applied to service, consultant, and vendor contracts exceeding \$25,000, or public work contracts exceeding \$10,000. Contractor herein agrees that this Affidavit is incorporated as an addendum to its general contract, and recognizes that failure to comply with these requirements may constitute grounds for application of sanctions as set forth in the general specifications, King County Code Chapter 12.16 ("Chapter") and this Affidavit. PROVIDED FURTHER, that in lieu of this Affidavit, the Executive may accept a statement pledging adherence to an existing contractor affirmative action plan where the provisions of the plan are found by the Executive to substantially fulfill the requirements of the Chapter.
- B. Contractor shall give notice to their supervisors and employees of the requirements for affirmative action to be undertaken prior to the commencement of work.
- C. This person has been designated to represent the Contractor and to be responsible for securing compliance with and for reporting on the affirmative actions taken:
- D. Contractor will cooperate fully the M/WBE and Contract Compliance Division and appropriate County agents while making every reasonable "good faith" effort to comply with the affirmative action and nondiscrimination requirements set forth in this Affidavit and in King County Code Chapter 12.16.
- E. Reports: The Contractor agrees to complete and submit as required such additional reports and records that may be necessary to determine compliance with the Affidavit and to confer with the County Compliance Officer at such times as the County shall deem necessary. The information required by the Chapter includes but is not limited to the following reports and records:
  1. Personnel Inventory Report: This report shall include a breakdown of the employer workforce showing race, sex and handicapped and other minority data.
  2. Monthly Utilization Report: This report shall apply to construction contractors and subcontractors and shall provide the number of hours of employment for all employees, including minority, women and disabled employees by craft and category.
3. Statement from Union or Worker Referral Agency: This statement affirms that the signer's organization has no practices and policies which discriminate on the basis of race, color, creed, religion, sex, age, marital status, sexual orientation, nationality or the presence of sensory, mental or physical disability.

The information required in this section shall be submitted on forms provided by the County unless otherwise specified.

F. Subcontractors: For public works projects and contracts over ten thousand dollars (\$10,000) the prime contractor shall be required to submit to the County, along with its qualifying documents under the Chapter, employment profiles, Affidavits and Certificates of Compliance, Reports and Union Statements from its subcontractors in the same manner as these are required of the prime contractor. Reporting requirements of the prime contractor during the contract period will apply equally to all subcontractors.

G. Employment Goals for Minorities, Women and Persons with Disabilities: No specific levels of utilization of minorities and women in the workforce of the Contractor shall be required, and the Contractor is not required to grant any preferential treatment on the basis of race, sex, color, ethnicity or national origin in its employment practices. Notwithstanding the foregoing, any affirmative action requirements set forth in any federal regulations, statutes or rules included or referenced in the contract documents shall continue to apply.

H. Affirmative Action Measures: Contractor agrees to implement and/or maintain reasonable good faith efforts to comply with King County Code Chapter 12.16. The evaluation of a contractor's compliance with the Chapter shall be based upon the contractor's effort to achieve maximum results from its affirmative action measures. The Contractor shall document these efforts and shall implement affirmative action steps at least as extensive as the following:

1. Policy Dissemination: Internal and external dissemination of the contractor's equal employment opportunity policy; posting of nondiscrimination policies and of the requirement of the Chapter on bulletin boards clearly visible to all employees; notification to each subcontractor, labor union or representative of workers with which there is a collective bargaining agreement or other contract, subcontract, or understanding of the contractor's commitments under the Chapter. Inclusion of the equal opportunity policy in advertising in the news media and elsewhere.
2. Recruiting: Adopt and implement recruitment procedures designed to increase the representation of women, minorities and persons with disabilities in the pool of applicants for employment: including, but not limited to establishing and maintaining a current list of minority, women and disabled recruitment sources, providing these sources written notification of employment opportunities and advertising vacant positions in newspapers and periodicals which have minority, women and/or disabled readership.

3. **Self-Assessment and Test Validation:** Review of all employment policies and procedures, including tests, recruitment, hiring and training practices and policies, performance evaluations, seniority policies and practices, job classifications and job assignments to assure that they do not discriminate against, or have a discriminatory impact on, minorities, women and persons with disabilities and validate all tests and other selection requirements where there is an obligation to do so under state or federal law.
  4. **Record Referrals:** Maintain a current file of applications of each minority, women and persons with disabilities who are applicants or referrals for employment indicating what action was taken with respect to each such individual and the reasons therefor. Contact these people when an opening exists for which they may be qualified. Names may be removed from the file after twelve months have elapsed from their last application or referral.
  5. **Notice to Unions:** Provide notice to labor unions of the contractor's nondiscrimination and affirmative action obligations pursuant to King County Code Chapter 12.16. Contractors shall also notify the M/WBE and Contract Compliance Division if labor unions fail to comply with the nondiscrimination or affirmative provisions.
  6. **Supervisors:** Ensure that all supervisory personnel understand and are directed to and implement the nondiscrimination and affirmative action obligations of the contractor under King County Code Chapter 12.16. Such direction shall include, but not be limited to, adherence to, and achievement of, affirmative action policies in performance appraisals of supervisory personnel.
  7. **Employee Training:** When reasonable, develop on-the-job training opportunities which expressly include minorities, women, and persons with disabilities and sponsor and/or utilize, training/educational opportunities for the advancement of women, minorities and persons with disabilities employed by the contractor, subject to acceptance by the county.
  8. **Responsible Person:** Designate an employee who shall have the responsibility for implementation of the Contractor's affirmative action measures.
  9. **Progress Reporting:** Prepare as part of the affirmative action plan an analysis and report on the progress made toward eliminating the underrepresentation of minorities, women, and persons with disabilities in the contractor's workforce on an annual basis.
- I. During the performance of this Contract, neither the Contractor nor any party subcontracting under the authority of this Contract shall discriminate nor tolerate harassment on the basis of race, color, sex, religion, nationality, creed, marital status, sexual orientation, age, or the presence of any sensory, mental or physical disability in the employment or application for employment or in the administration or delivery of services or any other benefits under this Contract.
  - J. Contractor agrees to provide reasonable access upon request to the premises of all places of business and employment, relative to work undertaken in this Contract, and to records, files, information and employees in connection therewith, to the M/WBE and Contract Compliance Division or agent for purposes of reviewing compliance with the provisions of this Affidavit and agrees to cooperate in any compliance review.
  - K. Should the M/WBE and Contract Compliance Division find, upon complaint investigation or review, the Contractor not to be in good faith compliance with the provisions contained in this Affidavit, it shall notify the County and Contractor in writing of the finding fully describing the basis of non-compliance. Contractor may request withdrawal of such notice of noncompliance at such time as the compliance office has notified in writing the Contractor and the County that the noncompliance has been resolved.
  - L. The Contractor agrees that any violation of any term of this Affidavit, including reporting requirements, shall be deemed a violation of King County Code Chapter 12.16. Any such violation shall be further deemed a breach of a material provision of the Contract between the County and the Contractor. Such breach may be grounds for implementation of any sanctions provided for in the Chapter, including but not limited to, cancellation, termination or suspension, in whole or part, of the Contractor by the County; liquidated damages; or disqualification of the Contractor PROVIDED, that the implementation of any sanctions is subject to the notice and hearing provisions of King County Code Chapter 12.16.110.

Contractor: Reid Middleton, Inc. 728 134th Street SW, Suite 200 Everett, WA 98204  
 Company Name Street Address City State Zip

I have read and understood the foregoing; and am authorized on behalf of the Contractor to agree to the terms and conditions of this and Affidavit and Certificate of Compliance and therefore, execute the same.

Authorized Signer: Paul W. Masten  
 Name (type or print)

Vice President (425)741-3800  
 Title Phone

Signature

VALID ONLY IF NOTARIZED

SUBSCRIBED AND SWORN TO BEFORE ME THIS 10th DAY OF April, 2000.

Bette J. Rhine  
 Notary Public in and of the state of Washington

Residing at: 1002 51st Pl SW  
Everett, WA 98203



E93066E

Affidavit and Certificate of Compliance, Page-2 of 2

Rev 1/1/99

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SEA403441

**DECLARATION  
REGARDING DISADVANTAGED BUSINESS ENTERPRISE  
SOLICITATION AND UTILIZATION COMMITMENT**

The undersigned, declares under the penalty of perjury under the laws of the state of Washington that the following is true and correct:

- A. The undersigned is authorized by the Proposer to act on the Proposer's behalf.
- B. This Declaration Regarding Disadvantaged Business Enterprise Solicitation and Utilization Commitment constitutes the Proposer's statement of its efforts to solicit and obtain firms certified as Disadvantaged Business Enterprises by the Washington State Office of Minority and Women's Business Enterprises. In addition, this Declaration constitutes the Proposer's commitment, if awarded this contract by the County, to utilize certified and qualified DBEs at least to the percentage level set forth in the RFP.
- C. The Proposer hereby designates Paul W. Masten, PE, whose title is Vice President as the person who has been charged by the Proposer with the responsibility for carrying out and reporting the Proposer's compliance with Disadvantaged Business Enterprises goals and requirements.
- D. The Proposer hereby affirms that the Proposer has complied with the requirements set forth in the solicitation document for this contract for providing certified DBEs equitable opportunity to participate in the performance of the work and that all documentation submitted herewith to demonstrate such compliance is true and accurate.
- E. The names of the Disadvantaged Business Enterprises to which the Proposer plans to award subcontracts if awarded the contract and the percentage of such subcontracts on Attachment A to this Declaration. For each subcontractor, subconsultant and/or supplier ("subcontractor"), the Proposer agrees to submit the information required in Attachment A.
- F. As demonstration of the Proposer's compliance and efforts, the Proposer has completed the DBE - Consultant Utilization Report (Attachment A) for each subcontractor, which shall be incorporated herein by this reference. The Proposer has identified herein those DBEs with whom the Proposer will subcontract if awarded this contract.
- G. The Proposer hereby agrees that as a prerequisite to execution of the Agreement by the County, the Proposer will produce letters of intent or subcontractor agreements substantially consistent with the commitments for each subcontractor as set forth in compliance with Attachment A.

I have read the foregoing and make this statement from my personal knowledge, am competent to testify thereto and am authorized to bind the Proposer to the same.

Dated this 10th of April, 2000, in Everett, Washington

  
(signature)

Paul W. Masten, PE  
(print name and title)

E93066E

Declaration of DBE Regarding Disadvantaged Business Enterprise  
Solicitation And Utilization Commitment

**ATTACHMENT A to DECLARATION REGARDING  
DBE SOLICITATION & UTILIZATION COMMITMENT  
DISADVANTAGED BUSINESS ENTERPRISES (DBE)  
CONSULTANT UTILIZATION ANALYSIS**

Instructions: The "Disadvantaged (DBE) Consultant Utilization Analysis" (this Attachment A) is to be completed by the Proposer and submitted with the Declaration Regarding Disadvantaged Business Enterprise Solicitation and Utilization Commitment. Please fill out a separate page for each DBE subcontractor, subconsultant or supplier on the team. If necessary, duplicate this sheet and use for each proposed DBE. In the DESCRIPTION block provided below provide the following information: (1) all work the disadvantaged firm(s) will be performing under this Agreement; (2) how your utilization of DBEs demonstrates meaningful participation on significant project tasks and enhanced project management by DBEs; and (3) how you will utilize DBEs in subsequent performance phases under this Agreement, particularly in final design and construction assistance. If necessary please use additional sheets to complete your description.

Design Services for the King County International  
PROJECT: Airport Runway 13L-31R Overlay RFP #: E93066E

CONSULTANT: Reid Middleton, Inc.

DBE Prime:        X    
                  yes           no

DBE Subconsultant: PacRim Geotechnical Overall Percentage\*: 3.5 %

Role by Task	Assigned Task(s)	% of Work for each Task
Lead/Support		
Support	Geotechnical Engineering	100%

DESCRIPTION:

E93066E

\*Overall Percentage is measured by the percentage of the work to be performed by the proposed Subconsultant as measured against the overall work to be performed pursuant to the Agreement.

Attachment A to Declaration Regarding  
DBE Solicitation and Utilization

**ATTACHMENT A to DECLARATION REGARDING  
DBE SOLICITATION & UTILIZATION COMMITMENT  
DISADVANTAGED BUSINESS ENTERPRISES (DBE)  
CONSULTANT UTILIZATION ANALYSIS**

Instructions: The "Disadvantaged (DBE) Consultant Utilization Analysis" (this Attachment A) is to be completed by the Proposer and submitted with the Declaration Regarding Disadvantaged Business Enterprise Solicitation and Utilization Commitment. Please fill out a separate page for each DBE subcontractor, subconsultant or supplier on the team. If necessary, duplicate this sheet and use for each proposed DBE. In the DESCRIPTION block provided below provide the following information: (1) all work the disadvantaged firm(s) will be performing under this Agreement; (2) how your utilization of DBEs demonstrates meaningful participation on significant project tasks and enhanced project management by DBEs; and (3) how you will utilize DBEs in subsequent performance phases under this Agreement, particularly in final design and construction assistance. If necessary please use additional sheets to complete your description.

Design Services for the King County International

PROJECT: Airport Runway 13L-31R Overlay RFP #: E93066E

CONSULTANT: Reid Middleton, inc.

DBE Prime:        X    
                  yes           no

DBE Subconsultant: Pavement Engr. Inc. Overall Percentage\*: 4.5 %

Role by Task	Assigned Task(s)	% of Work for each Task
<u>Lead/Support</u>		
Lead	Pavement Evaluation	100%

DESCRIPTION:

E93066E

\*Overall Percentage is measured by the percentage of the work to be performed by the proposed Subconsultant as measured against the overall work to be performed pursuant to the Agreement.

Attachment A to Declaration Regarding  
DBE Solicitation and Utilization

**ATTACHMENT A to DECLARATION REGARDING  
DBE SOLICITATION & UTILIZATION COMMITMENT  
DISADVANTAGED BUSINESS ENTERPRISES (DBE)  
CONSULTANT UTILIZATION ANALYSIS**

Instructions: The "Disadvantaged (DBE) Consultant Utilization Analysis" (this Attachment A) is to be completed by the Proposer and submitted with the Declaration Regarding Disadvantaged Business Enterprise Solicitation and Utilization Commitment. Please fill out a separate page for each DBE subcontractor, subconsultant or supplier on the team. If necessary, duplicate this sheet and use for each proposed DBE. In the DESCRIPTION block provided below provide the following information: (1) all work the disadvantaged firm(s) will be performing under this Agreement; (2) how your utilization of DBEs demonstrates meaningful participation on significant project tasks and enhanced project management by DBEs; and (3) how you will utilize DBEs in subsequent performance phases under this Agreement, particularly in final design and construction assistance. If necessary please use additional sheets to complete your description.

Design Services for the King County International

PROJECT: Airport Runway 13L-31R Overlay RFP #: E93066E

CONSULTANT: Reid Middleton, Inc.

DBE Prime:        X  

yes no

DBE Subconsultant: Proulx Consulting, Inc. Overall Percentage\*: 4 %

Role by Task Lead/Support	Assigned Task(s)	% of Work for each Task
Support	Civil Engineering	10%

DESCRIPTION:

E93066E

\*Overall Percentage is measured by the percentage of the work to be performed by the proposed Subconsultant as measured against the overall work to be performed pursuant to the Agreement.

Attachment A to Declaration Regarding  
DBE Solicitation and Utilization

Proposer Certification Regarding Debarment, Suspension, and Other Responsibility Matters -  
Lower Tier Covered Transactions

The undersigned, Paul W. Masten, PE (name of person) duly authorized to act on behalf of Reid Middleton, Inc. ("Proposer") acknowledges and certifies, to the best of his or her knowledge and belief, that:

1. This certification is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the Proposer knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, King County ("County") may pursue available remedies, including suspension and/or debarment.
2. The Proposer certifies, that neither it nor its "principals" (as defined at 49 C.F.R. § 29.105) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
3. If the Proposer is unable to certify to the statements in this certification, such prospective participant shall attach an explanation to this certification.
4. The Proposer shall provide immediate written notice to the County if at any time the Proposer learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "persons," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 [49 CFR Part 29]. You may contact the County for assistance in obtaining a copy of those regulations.
6. The Proposer agrees by submitting its proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized in writing by the County.
7. The Proposer further agrees by submitting its proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction", without modification, in all lower tier covered transactions with subconsultants and subcontractors and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List issued by U.S. General Service Administration.
9. Nothing contained in the foregoing shall be construed to require establishment of system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

E93066E

Proposer Certification Regarding Debarment,  
Suspension, and Other Responsibility Matters

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SEA403446



10. Except for transactions authorized under Paragraph 6 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to all remedies available to the Federal Government, the County may pursue available remedies including suspension and/or debarment.

  
\_\_\_\_\_  
Signature of Authorized Official

Paul W. Masten, PE. / Vice President  
Name and Title of Authorized Official

Reid Middleton, Inc.  
Name of Company

April 10, 2000  
Date

E93066E

Proposer Certification Regarding Debarment,  
Suspension, and Other Responsibility Matters

2 of 2

KCSlip4 36902

SEA403447

**Lower Tier Participant Certification Regarding Debarment, Suspension,  
and Other Responsibility Matters - Lower Tier Covered Transactions**

The undersigned, ROGER C. YOUNG, PE. (name of person) duly authorized to act on behalf of ELCON ASSOCIATES, INC. prospective lower tier participant, including but not limited to subcontractors and subcontractors of any tier ("Participant") acknowledges and certifies, to the best of his or her knowledge and belief, that:

1. This certification is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier Participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, King County ("County") may pursue available remedies, including suspension and/or debarment.
2. The Participant certifies that neither it nor its "principals" (as defined at 49 C.F.R. § 29.105) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
3. If the Participant is unable to certify to the statements in this certification, such prospective participant shall attach an explanation to this certification.
4. The Participant shall provide immediate written notice to the Consultant if at any time the Participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "persons," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 (49 CFR Part 29). You may contact the Consultant for assistance in obtaining a copy of those regulations.
6. The Participant agrees by submitting its proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized in writing by the County.
7. The Participant further agrees by submitting its proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction", without modification, in all lower tier covered transactions with of its subcontractors and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List issued by U.S. General Service Administration.
9. Nothing contained in the foregoing shall be construed to require establishment of system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

1500000

**Lower Tier Participant Certification Regarding Debarment,  
Suspension, and Other Responsibility Matters**

1 of 2

10. Except for transactions authorized under Paragraph 6 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to all remedies available to the Federal Government, the County may pursue available remedies including suspension and/or debarment.

Roger C. Young, P.E.  
Signature of Authorized Official

ROGER C. YOUNG, P.E., EXEC. VICE PRESIDENT  
Name and Title of Authorized Official

ELCON ASSOCIATES, INC.  
Name of Company

APRIL 10, 2000  
Date

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Lower Tier Participant Certification Regarding Debarment  
Suspension, and Other Responsibility Matters

1 of 2

TOTAL P.03

KCSlip4 36904

SEA403449

Lower Tier Participant Certification Regarding Debarment, Suspension,  
and Other Responsibility Matters - Lower Tier Covered Transactions

The undersigned, Bob Arnold (name of person) duly authorized to act on behalf of General Testing Laboratory, Inc. prospective lower tier Participant, including but not limited to subconsultants and subcontractors of any tier ("Participant") acknowledges and certifies, to the best of his or her knowledge and belief, that:

1. This certification is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier Participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, King County ("County") may pursue available remedies, including suspension and/or debarment.
2. The Participant certifies, that neither it nor its "principals" (as defined at 49 C.F.R. § 29.105) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
3. If the Participant is unable to certify to the statements in this certification, such prospective participant shall attach an explanation to this certification.
4. The Participant shall provide immediate written notice to the Consultant if at any time the Participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "persons," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 [49 CFR Part 29]. You may contact the Consultant for assistance in obtaining a copy of those regulations.
6. The Participant agrees by submitting its proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized in writing by the County.
7. The Participant further agrees by submitting its proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction", without modification, in all lower tier covered transactions with of its subconsultants and subcontractors and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List issued by U.S. General Service Administration.
9. Nothing contained in the foregoing shall be construed to require establishment of system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

BSJ0046C

Lower Tier Participant Certification Regarding Debarment,  
Suspension, and Other Responsibility Matters

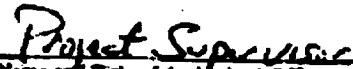
1 of 2

KCSlip4 36905

SEA403450

10. Except for transactions authorized under Paragraph 6 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to all remedies available to the Federal Government, the County may pursue available remedies including suspension and/or debarment.

  
\_\_\_\_\_  
Signature of Authorized Official

  
\_\_\_\_\_  
Name and Title of Authorized Official

  
\_\_\_\_\_  
Name of Company

  
\_\_\_\_\_  
Date

2530462

Lower Tier Participant Certification Regarding Debarment,  
Suspension, and Other Responsibility Matters

1 of 2

KCSlip4 36906

SEA403451

**Lower Tier Participant Certification Regarding Debarment, Suspension,  
and Other Responsibility Matters - Lower Tier Covered Transactions**

The undersigned, Harhans L. Chabra (name of person) duly authorized to act on behalf of PacRim geotechnical prospective lower tier participant, including but not limited to subconsultants and subcontractors of any tier ("Participant") acknowledges and certifies, in the best of his or her knowledge and belief, that:

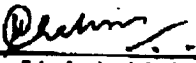
1. This certification is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier Participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, King County ("County") may pursue available remedies, including suspension and/or debarment.
2. The Participant certifies, that neither it nor its "principals" (as defined at 49 C.F.R. § 29.105) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
3. If the Participant is unable to certify to the statements in this certification, such prospective participant shall attach an explanation to this certification.
4. The Participant shall provide immediate written notice to the Consultant if at any time the Participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "persons," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 (49 CFR Part 29). You may contact the Consultant for assistance in obtaining a copy of those regulations.
6. The Participant agrees by submitting its proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized in writing by the County.
7. The Participant further agrees by submitting its proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction", without modification, in all lower tier covered transactions with of its subconsultants and subcontractors and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List issued by U.S. General Service Administration.
9. Nothing contained in the foregoing shall be construed to require establishment of system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

8930462

Lower Tier Participant Certification Regarding Debarment  
Suspension, and Other Responsibility Matters

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10. Except for transactions authorized under Paragraph 6 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to all remedies available to the Federal Government, the Country may pursue available remedies including suspension and/or debarment.

  
\_\_\_\_\_  
Signature of Authorized Official

Harbans L. Chabra, Principal  
Name and Title of Authorized Official

PacRim Geotechnical, Inc.  
Name of Company

April 10, 2000  
Date

89306E

Lower Tier Participant Certification Regarding Debarment  
Suspension, and Other Responsibility Matters

1 of 2

KCSlip4 36908

SEA403453

Lower Tier Participant Certification Regarding Debarment, Suspension,  
and Other Responsibility Matters - Lower Tier Covered Transactions

The undersigned, MARY KAY VOSS (name of person) duly authorized to act on behalf of MOVEMENT CONSULTING prospective lower tier participant, including but not limited to subconsultants and subcontractors of any tier ("Participant") acknowledges and certifies, to the best of his or her knowledge and belief, that:

1. This certification is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier Participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, King County ("County") may pursue available remedies, including suspension and/or debarment.
2. The Participant certifies, that neither it nor its "principals" (as defined at 49 C.F.R. § 29.105) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
3. If the Participant is unable to certify to the statements in this certification, such prospective participant shall attach an explanation to this certification.
4. The Participant shall provide immediate written notice to the Consultant if at any time the Participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "persons," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 (49 CFR Part 29). You may contact the Consultant for assistance in obtaining a copy of those regulations.
6. The Participant agrees by submitting its proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized in writing by the County.
7. The Participant further agrees by submitting its proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction", without modification, in all lower tier covered transactions with of its subconsultants and subcontractors and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List issued by U.S. General Service Administration.
9. Nothing contained in the foregoing shall be construed to require establishment of system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

8930644

Lower Tier Participant Certification Regarding Debarment,  
Suspension, and Other Responsibility Matters

1 of 2

KCSlip4 36909

SEA403454



10. Except for transactions authorized under Paragraph 6 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to all remedies available to the Federal Government, the County may pursue available remedies including suspension and/or debarment.

Mary Kay Voss  
Signature of Authorized Official

MARY KAY VOSS President  
Name and Title of Authorized Official

PAVEMENT ENGINEERS, INC  
Name of Company

4/11/00  
Date

EY3066E

Lower Tier Participant Certification Regarding Debarment  
Suspension, and Other Responsibility Matters

1 of 2

KCSlip4 36910

SEA403455

**Lower Tier Participant Certification Regarding Debarment, Suspension,  
and Other Responsibility Matters - Lower Tier Covered Transactions**

The undersigned, Janet Proulx (name of person) duly authorized to act on behalf of Proulx Consulting, Inc. prospective lower tier participant, including but not limited to subconsultants and subcontractors of any tier ("Participant") acknowledges and certifies, to the best of his or her knowledge and belief, that:

1. This certification is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier Participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, King County ("County") may pursue available remedies, including suspension and/or debarment.
2. The Participant certifies, that neither it nor its "principals" (as defined at 49 C.F.R. § 29.105) is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
3. If the Participant is unable to certify to the statements in this certification, such prospective participant shall attach an explanation to this certification.
4. The Participant shall provide immediate written notice to the Consultant if at any time the Participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "persons," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 [49 CFR Part 29]. You may contact the Consultant for assistance in obtaining a copy of those regulations.
6. The Participant agrees by submitting its proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized in writing by the County.
7. The Participant further agrees by submitting its proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion - Lower Tier Covered Transaction", without modification, in all lower tier covered transactions with of its subconsultants and subcontractors and in all solicitations for lower tier covered transactions.
8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List issued by U.S. General Service Administration.
9. Nothing contained in the foregoing shall be construed to require establishment of system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

5930648

**Lower Tier Participant Certification Regarding Debarment,  
Suspension, and Other Responsibility Matters**

1 of 2

KCSlip4 36911

SEA403456

10. Except for transactions authorized under Paragraph 6 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to all remedies available to the Federal Government, the County may pursue available remedies including suspension and/or debarment.

Janet J. Proulx  
Signature of Authorized Official

Janet J. Proulx, President  
Name and Title of Authorized Official

Proulx Consulting, Inc (dba PCI)  
Name of Company

4-10-00  
Date

2990648

Lower Tier Participant Certification Regarding Debarment  
Suspension, and Other Responsibility Matters

1 of 2

KCSlip4 36912

SEA403457

**CONSULTANT'S COMPLIANCE STATEMENT  
(EXECUTIVE ORDER NO. 11246)**

This statement relates to a proposed contract with King County for Design Services for the King County International Airport Runway 13L-13R Overlay, RFP No. P93066P, who expects to finance the contract with assistance from the Department of Transportation.

I am the undersigned proposer or prospective consultant. I represent that:

I have   X   I have not            participated in a previous contract or subcontract subject to Executive Order 11246 of September 24, 1965 (regarding equal employment opportunity) or a preceding similar Executive Order. I agree to comply with all the provisions of this Executive Order and the rules, Regulations, and relevant orders of the Secretary of Labor. (60-1.4(b) (4)).

Reid Middleton, Inc.

Name of Proposer/Consultant

  
Authorized Signature

April 10, 2000

Date

Paul W. Masten / Vice President

Name and Title of Signer

**Certification Regarding The Restrictions  
of The use of Federal Funds for Lobbying**

The prospective participant certifies, by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

1. No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence any officer or employee of any federal agency, a member of Congress. An officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan, or cooperative agreement.

2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency, a member of Congress, any officer or employee of Congress, or an employee of a member of Congress in connection with this federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-L.L.L. "Disclosure Form to Report Lobbying," in accordance with its instructions.

This, certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The prospective participant also agrees by submitting his or her bid or proposal that he or she shall require that the language of this certification be included in all lower tier subcontracts which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.

Reid Middleton, Inc.  
Consultant/Proposer

  
Authorized Signature

April 10, 2000  
Date

Paul W. Masten, PE / Vice President  
Name and Title

RFP No. E93066E

Certification Regarding The Restrictions  
of The use of Federal Funds for Lobbying  
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SEA403459